

Capital Investment Programme Approvals

| Col 1 | Col 2 | Col 3 | Col 4 | | Col 5 | | Col 6 | Col 7 |
|---------|---|----------------------------------|----------------------------|-----------------------|---------------------------------|-----------------------|-------------------|------------------------------|
| Row No. | Service Area | Approvals as at end of Sept 2017 | New Schemes Oct to end Nov | Approval Amendments | | | | Approvals as at end Nov 2017 |
| | | | | N O T E S | Alterations to Existing Schemes | N O T E S | Technical Changes | |
| | | | | | | | | |
| 1 | Schools - Primary and Secondary Sector | 135.815 | | | +2.005 | 1 | +0.040 | 137.860 |
| 2 | Local Enterprise Partnership | 125.031 | | | | | -1.205 | 123.826 |
| 3 | Economic Development | 107.861 | | | | | +0.303 | 108.164 |
| 4 | Highways and Traffic Management | 89.271 | | | | | | 89.271 |
| 5 | Highways Engineering Projects | 61.173 | | | | | +0.902 | 62.075 |
| 6 | Support Services | 34.536 | | | +0.200 | 2 | | 34.736 |
| 7 | Schools - SEN and Access | 14.194 | | | | | | 14.194 |
| 8 | Early Years and Community Services | 9.576 | | | | | -0.040 | 9.536 |
| 9 | Flood And Water | 6.616 | | | | | | 6.616 |
| 10 | Adult Social Care and Learning Disabilities | 6.106 | | | | | | 6.106 |
| 11 | Other Services | 7.811 | | | | | | 7.811 |
| 12 | TOTAL | 597.990 | | 0 | +2.205 | 0 | -0.000 | 600.195 |

Notes:

1. Schools – Primary and Secondary Sectors (+£2.005m)
This sum comprises of the following:
 - +£1.068m S106 Contribution for Wyndham and Primrose Hill, Yeovil;
 - +£0.820m S106 Contribution to Wincanton Primary School;
 - +£0.080 S106 Contribution to Huish Primary, Yeovil;
 - +£0.037m S106 Contribution for Beckington.

2. Support Services (+£0.200m)

Capital Receipts approval for County Farms Compensation Claims.

Members should note that within the Technical changes column (col 5) there were virements totalling £1.205m processed between Economic Development and Engineering Projects. These all relate to LEP funding for specific projects. These virements, among other smaller virements ensure we utilise all available current approvals and therefore not impact on the overall corporate cost of the Capital Improvement Programme.

Appendix B

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Forecast Expenditure for 2017/18 and Future Years

| <i>Col 1</i> | <i>Col 2</i> | <i>Col 3</i> | <i>Col 4</i> | <i>Col 5</i> | <i>Col 6</i> | <i>Col 7</i> |
|---|----------------------------|-----------------------|-----------------------|-----------------------|-----------------------------------|----------------|
| Service Area | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m | Total |
| Schools - Primary and Secondary Sector | 25.894 | 16.867 | 6.357 | 0.012 | | 49.130 |
| Local Enterprise Partnership | 29.395 | 44.705 | 3.428 | | | 77.528 |
| Economic Development | 12.752 | 20.394 | 9.080 | 0.051 | | 42.277 |
| Highways and Traffic Management | 28.828 | 2.164 | 0.902 | | | 31.894 |
| Highways Engineering Projects | 4.337 | 8.311 | | | | 12.648 |
| Support Services | 8.239 | 2.354 | | | | 10.593 |
| Schools - SEN and Access | 0.916 | 0.770 | 0.061 | | | 1.747 |
| Early Years and Community Services | 2.705 | 2.350 | 0.154 | | | 5.209 |
| Flood And Water | 0.001 | | | | | 0.001 |
| Adult Social Care and Learning Disabilities | 1.391 | 0.375 | 0.050 | 0.050 | 0.046 | 1.912 |
| Other Services | 2.731 | 0.434 | 0.226 | | | 3.391 |
| TOTAL | 117.187 | 98.724 | 20.258 | 0.113 | 0.046 | 236.328 |
| Financing | | | | | | |
| Loans Pool Funded | 12.934 | 6.334 | 3.246 | 0.012 | | 22.526 |
| Internal Funds | 0.140 | | | | | 0.140 |
| Capital Receipts | 3.064 | 3.224 | 1.569 | | | 7.857 |
| Revenue | 0.098 | | | | | 0.098 |
| Third Party Contributions | 9.399 | 8.770 | 5.574 | 0.050 | 0.046 | 23.839 |
| Grants | 91.552 | 80.396 | 9.869 | 0.051 | | 181.868 |
| Leasing | | | | | | |
| TOTAL | 117.187 | 98.724 | 20.258 | 0.113 | 0.046 | 236.328 |

Appendix C

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Movements in Forecast Expenditure during Quarter 3

| <i>Col 1</i> | <i>Col 2</i> | <i>Col 3</i> | <i>Col 4</i> | <i>Col 5</i> | <i>Col 6</i> |
|--|----------------------------|-----------------------|-----------------------|-----------------------|-----------------------------------|
| Service Area | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
| <u>Forecast Expenditure Movements</u> | | | | | |
| TOTAL as at End Sept | 121.245 | 82.975 | 22.790 | 0.918 | 5.457 |
| Schools - Primary and Secondary Sector | +1.022 | +0.612 | +0.405 | +0.001 | |
| Local Enterprise Partnership | -4.884 | +12.679 | -2.785 | -0.806 | -5.411 |
| Economic Development | +0.303 | | | | |
| Highways and Traffic Management | -1.280 | +1.496 | | | |
| Highways Engineering Projects | +0.032 | +0.956 | | | |
| Support Services | +1.225 | -0.619 | | | |
| Schools - SEN and Access | +0.082 | +0.060 | -0.142 | | |
| Early Years and Community Services | -0.269 | +0.257 | -0.029 | | |
| Flood And Water | | | | | |
| Adult Social Care and Learning Disabilities | -0.226 | +0.226 | | | |
| Other Services | -0.063 | +0.082 | +0.019 | | |
| TOTAL as at End November | 117.187 | 98.724 | 20.258 | 0.113 | 0.046 |
| <u>Financing Movements</u> | | | | | |
| | 0 | 0 | 0 | 0 | 0 |
| TOTAL as at end Sept | 121.245 | 82.975 | 22.790 | 0.918 | 5.457 |
| Loans Pool Funded | +1.153 | -0.253 | +0.172 | | |
| Internal Funds | | | | | |
| Capital Receipts | -0.068 | +0.222 | | | |
| Revenue | | | | | |
| Third Party Contributions | +0.872 | +0.537 | | | |
| Grants | -6.015 | +15.243 | -2.704 | -0.805 | -5.411 |
| Leasing | | | | | |
| TOTAL as at End November | 117.187 | 98.724 | 20.258 | 0.113 | 0.046 |

Appendix C continued.

Notes:

The following notes relate to movements of over £0.050m between years on individual schemes. This appendix excludes movements that result from the changes in the levels of approvals described in Appendix A. The balance of the change between the figures in the tables above and below will comprise one or more schemes having movements below the £0.050m threshold and any movements following the changes in approvals in Appendix A.

Schools – Access Initiative

| N O T E S | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-----------------------|---------------------------|-----------------------|---------------|---------------|---------------|--------------------------|
| 1 | Schools Access Initiative | +0.062 | -0.060 | -0.002 | | |

- Schools Access Initiative** – Relates to two projects being brought forward into this financial year. Alteration project at St James Academy in Taunton and design fees on an extension project at Yeovil Preston Secondary Academy which has been brought forward in order to meet the needs of pupil due to attend in September 2018.

Schools – Early Years

| N O T E S | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-----------------------|-------------|-----------------------|---------------|---------------|---------------|--------------------------|
| 1 | Early Years | -0.275 | +0.275 | | | |

- Early Years** – This relates to grant payments for two separate third party Early Years providers whose projects have experienced delays meaning the release of associated grant funding has now slipped into 2018/19.

Schools – Primary & Secondary

| N O T E S | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-----------------------|--------------------|-----------------------|---------------|---------------|---------------|--------------------------|
| 1 | General Provisions | +0.093 | -0.093 | | | |
| 2 | Schools Basic Need | -0.728 | +0.570 | +0.152 | | |
| 3 | Schools Capital | -0.053 | +0.116 | -0.063 | | |

1. **General Provisions** – Increase in forecast against DFCG funding following further analysis of the current year spend.
2. **Schools Basic Need** – Forecast relates to four schemes. Holway Park Autism unit which had a delayed planning submission; The allowance of design fees for Nerrols Primary School which is likely to fall into 2018/19; A scheme at Wellington Courtfields School which has been delayed following a cost review exercise and negotiations with the contractor; and a possible saving in relation to the new Northgate Primary School in Bridgwater.
3. **Schools Capital** – This relates to a mixture of accelerated and delayed spend across 94 separate smaller schemes within the 2017/18 Schools Condition Programme, the net result being an overall slippage of £53k. A number of schemes have experienced delays to issues arising from the change in procurement to Pro Contract and the limited number of Contractors available this year on the SLoAC Framework. There are also an unusually high number of Schools undertaking their own self-funded projects during the summer holiday period, which resulted in the SSE managed schemes having to be rescheduled into next year.

Adult Social Care & Learning Disabilities

| NOTES | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-------|--------------------------------|--------------------|---------------|---------------|---------------|--------------------------|
| 1 | Learning Disabilities | -0.118 | +0.118 | | | |
| 2 | Transforming Adult Social Care | -0.064 | +0.064 | | | |

1. **Learning Disabilities** – Revised scope of works for property reconfiguration at Newholme, Ruishton to enable conversion from Residential registration to Supported Living.
2. **Transforming Adult Social Care** – No spend is expected in this financial year.

Support Services

| NOTES | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-------|-----------------------|--------------------|---------------|---------------|---------------|--------------------------|
| 1 | County Farms Holdings | +0.075 | -0.075 | | | |
| 2 | ICT and Innovation | +1.034 | | | | |
| 3 | Change Programme | -0.060 | -0.540 | | | |

1. **County Farms** – Previous slippage into 2018/19 is no longer expected.
2. **ICT and Innovation** – Various overspends across a range of areas (Hardware Refresh, Technology Transformation, Licences and Asset Related Projects).
3. **Change Programme** – Forecast reflects the requested virement of £0.6m from Core Council Programme to ICT.

Highways Engineering Projects

| NOTES | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-------|---------------|--------------------|---------------|---------------|---------------|--------------------------|
| 1 | A38 Huntworth | +0.091 | | | | |
| 2 | Colley Lane | -0.913 | +0.913 | | | |

1. **A38 Huntworth** – Works to complete Gateway Signalisation required. These are expected to be completed this year.
2. **Colley Lane** – Works not expected to start until March leading to some slippage in the forecast.

Highways & Traffic Management

| NOTES | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-------|-------------------------|--------------------|---------------|---------------|---------------|--------------------------|
| 1 | Bridge Structures | -0.100 | +0.100 | | | |
| 2 | Seaward Way | -1.012 | +1.012 | | | |
| 3 | Traffic Signals | -0.140 | +0.140 | | | |
| 4 | Yeovil Eastern Corridor | +0.010 | +0.180 | | | |

1. **Bridge Structures** – Slippage in a particular scheme as the tender has only just been received.
2. **Seaward Way** – Delay in the West Somerset Railway's works programme has led to the change in forecast as the majority of the work can only be completed when the railway is not in operation.
3. **Traffic Signals** – Slippage in the Rowbarton Signal refurbishment project. Now expected to be completed in 2018/19.
4. **Yeovil Eastern Corridor** – Further scheme identification of works is required resulting in further slippage into 2018/19.

Other Services

| N O T E S | Project | Current Year £m | 2018/19 £m | 2019/20 £m | 2020/21 £m | 2021/22 onwards £m |
|-----------------------|---------------|-----------------------|---------------|---------------|---------------|--------------------------|
| 1 | Fleet | -0.148 | +0.148 | | | |
| 2 | Rights of Way | +0.085 | -0.085 | | | |

1. **Fleet** – Delay in the Park & Ride Real Time Information project.
2. **Rights Of Way Network** – Previously reported slippage into 2018/19 now appears unlikely. It is expected that all costs will be met in this financial year.

Appendix D

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Net projected over/under spend as at 30 November 2017

| <i>Col 1</i> | <i>Col 2</i> | <i>Col 3</i> | <i>Col 4</i> | <i>Col 5</i> | |
|---|--|---|--|---|------------------|
| Service Area | Approvals Position as at end November 2017 £m App A Col 7 | Predicted Over Spend £m | Predicted Under Spend £m | +Over/- Under spend as % of Approval Col 3 or 4/Col 2 | N O T E S |
| Schools - Primary and Secondary Sector | 137.860 | | -0.006 | -0.00% | 1 |
| Local Enterprise Partnership | 123.826 | | | | |
| Economic Development | 108.164 | | | | |
| Highways and Traffic Management | 89.271 | | -0.007 | -0.01% | 2 |
| Highways Engineering Projects | 62.075 | +0.023 | | 0.04% | 3 |
| Support Services | 34.736 | +1.356 | | 3.90% | 4 |
| Schools - SEN and Access | 14.194 | | | | |
| Early Years and Community Services | 9.536 | | | | |
| Flood And Water | 6.616 | | | | |
| Adult Social Care and Learning Disabilities | 6.106 | | | | |
| Other Services | 7.811 | | -0.014 | -0.18% | 5 |
| TOTAL | 600.195 | +1.379 | -0.027 | 0.23% | |

Notes – Summarised below are details of the key items contributing towards the £1.352m forecasted overspend reported in the above table.

1. This sum comprises of the following:
 - £0.006m under spend of Contributions for New Bridgwater Primary School.

2. This sum comprises of the following:
 - £0.076m under spend of Contributions for Bridgwater Hospital;
 - £0.005m under spend of Contributions for Godminster Lane, Bruton;
 - £0.004m under spend of Contributions for Wheddon Cross;

- £0.002m under spend of Contributions for Ilchester to Yeovilton Cycleway;
 - £0.003m over spend of Contributions for Porlock Link Road;
 - £0.005m over spend of Grant for Bridge Structures;
 - £0.030m over spend of Grant for Traffic Management County Wide;
 - £0.042m over spend of Grant for LSTF Bridgwater.
3. This sum comprises of the following:
- £0.120m under spend of Contributions for Wyndham Bus Gate;
 - £0.027m under spend of Contributions for Market Street, Highbridge;
 - £0.010m over spend of Contributions for Cannington Traffic Calming;
 - £0.160m over spend of Grant for A38 Huntworth.
4. This sum comprises of the following:
- £0.600m under spend of Contributions for the Change Programme;
 - £0.087m under spend of Capital Receipts for SMART Office;
 - £0.039m under spend of Capital Receipts for CASA/ OPE;
 - £0.032m under spend of Capital Receipts for Northgate;
 - £0.011m under spend of Contributions for Data Room Replacement;
 - £0.005m over spend of Contributions for CASA/ OPE;
 - £0.028m over spend of Capital Receipts for Frome Shared Services;
 - £2.093m over spend of Loans for Corporate ICT Investment.
5. This sum comprises of the following:
- £0.014m under spend of Grant for Libraries Management System.